

Capital Markets Services (CMS)



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Capital Markets Services (CMS)

A company must hold a capital markets services (CMS) licence to conduct activities regulated under the Securities and Futures Act . Individuals representing CMS licensees or exempt financial institutions need to be appointed as representatives.

Companies which may be required to hold a CMS licence include broker-dealers, corporate finance advisers, credit rating agencies, real estate investment trust (REIT) managers, fund managers and securities-based crowdfunding (SCF) operators. You can click on each type of entity for more information on what they do, and the different licensing exemptions available for the different regulated activities.

Permissions:Dealing in Capital Markets Products, i.Spot Foreign Exchange Contracts for the, Purposes of Leveraged Foreign Exchange, Trading;

1. The Firm is attractive to buyers who wants to be a Liquidity Provider servicing the B2B markets or provide FX brokerage services to accredited and high net worth investors (less retail clients).

2. Possible to apply for upgrade to include servicing retail clients or additional permission to include Fund Management.

3. Buyer have the choice of taking over current office location or seek new premise.

TARGET PRICE \$4,000,000

GROSS REVENUE TBA

EBITDA TBA

BUSINESS TYPE Shelf Companies

ESTABLISHED 1999-03-08

COUNTRY Singapore

BUSINESS ID L#20210048

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